

Collective behavior of asperities in dry friction at small velocities

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We investigate a simple model of dry friction based on extremal dynamics of asperities. At small velocities, correlations develop between the asperities, whose range becomes infinite in the limit of infinitely slow driving, where the system is self-organized critical. This collective phenomenon leads to effective aging of the asperities and results in velocity dependence of the friction force in the form $F \sim 1 - \exp(-1/v)$.

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I. INTRODUCTION

Phenomena connected with mechanical properties of complex systems have been the subject of intensive study in the last decade. Generally speaking, the difficulty stems from the fact that both the macroscopic scale and mesoscopic scale are important. For example, the contact area of two grains of sand is a mesoscopic object, but its properties result in macroscopic behavior of a sand heap. Among the whole family of such problems, the dry friction emerged in recent years as a hot subject. Besides the intrinsic interest in the dynamics of contact interfaces sliding on top of each other, there are various systems studied recently, in which friction forces are dominant interactions determining the behavior. As examples, we may note two notoriously known phenomena: sand heaps and earthquakes. Equilibrium stress distribution in heaps of granular materials exhibits complicated localized structures [1,2]. The dynamics of tectonic plates gives rise to the power-law distribution of earthquakes, formulated in the Gutenberg-Richter law [3,4]. A one-dimensional counterpart of friction is, e.g., the dislocation movement, which is responsible for the plasticity of metals.

At least three regimes of friction may be distinguished. First, dry friction corresponds to tangential force acting on the contact of two macroscopic solid bodies. The slot between the bodies is empty. The friction emerges as a result of the rheological properties of the sliding bodies both at the macroscopic and mesoscopic scale. Second, the lubricated friction differs in the fact that the slot between bodies is filled with a liquid and the mechanical properties of the mesoscopic portions of the lubricant are responsible for friction. Third, friction of a single microscopic tip on a surface may be measured, which explores the microscopic properties of the surface [5]. Here we concentrate on the first possibility: dry friction.

Thorough experiments concerning sliding bodies were performed as early as the 18th century and led to the famous Amontons-Coulomb laws: the friction force is proportional to load and independent of the apparent contact area; for nonzero velocities, the friction force is independent of velocity (dynamical friction), while at zero velocity, the friction

force is larger (static friction). These old results on the dry friction were reinvestigated experimentally relatively recently [6,7]. It was found that dynamical friction force is not constant, but increases continuously when the velocity is decreased.

From the theoretical point of view, the microscopic interpretation of the Amontons-Coulomb law was provided by Bowden and Tabor [8], and alternatively by Greenwood and Williamson [9]. In both approaches, the explanation is based on the picture of the set of mesoscopic contacts (asperities), scattered on the surface of the sliding bodies [10–14]. The typical size of the asperities is constant, while their number is proportional to normal load; hence the explanation of the Amontons-Coulomb law.

This picture is the basis of many current models of dry friction [7], especially the elastoplastic model developed by Caroli, Nozières, and Velický [10,11]. The asperities are considered as multistable traps, which dissipate energy due to hysteresis. In the approximation of independent traps, even the dynamics of a single asperity is able to describe the friction process.

However, many features are not well understood, e.g., the velocity dependence of the friction force found in experiments [6,15]. It is explained either as a consequence of the plasticity of the asperities, which is considered as a thermally activated process [6] (this phenomenon is called aging of the asperities) or purely geometrically, based on the self-affine shape of the surfaces [12]. Within the approach based on the plasticity, the logarithmic dependence of the age of the asperity on time is supposed on the basis of experimental data, which suggest logarithmic velocity dependence of the friction force. On the other hand, the geometrical approach gives friction force proportional to v^{-1} for large velocities, while the behavior for small velocities depends on the fractal geometry of the surface.

In the description of the process of friction two levels may be distinguished. On the global level, the averaged effect of asperities can be successfully described using the elastoplastic model [10,11]. This approach is effectively a single-site one. Only one asperity is changing its state and the effect of all other asperities is described by the effective surrounding medium. The spatiotemporal correlations are considered to be of very short range, and the mutually sliding surfaces behave in a uniform way.

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On the other hand, the local, short time level of description must take into account processes that happen at several (or many) asperities simultaneously, or within a very short period of time, so that they cannot be considered as uncorrelated. Several approaches in this direction were already proposed, based on geometrical considerations [12,13], on Frenkel-Kontorova [16], Burridge-Knopoff and train models [17,18], or on an extremal dynamics model with elastic interactions [14].

The extremal dynamics (ED) models are very appealing, because they may grasp the “skeleton” of the problem, despite their simplicity and rudimentary nature. Generally, ED is based on the assumption that only one site is evolving during one time step, namely the site which has the maximum (or minimum: it depends on the model in question) of the dynamical variable determining the state of the system. However, the price to pay is that the time scale fixed by the frequency of the updates of single sites is not directly related to the real time measured in an experiment.

Extremal dynamics models were successfully used in modeling various systems, such as invasion percolation, biological evolution [19], earthquakes [20], or dislocation movement [21,22]. The model we propose here is based on the ideas of ED models, adapted to the fact that in friction we are interested in macroscopic movement with nonzero velocity, while most ED models are appropriate to the case of infinitely slow movement.

Briefly, the evolution of our model proceeds at the most susceptible asperity, namely the asperity that bears maximum stress. A small mechanical perturbation, such as the release of stress at a single site, may result in a burst of activity of large spatiotemporal extent. Following the terminology used in the theories of self-organized criticality [19], we will call such spatiotemporal areas of activity avalanches. The correlations present in the model will be described through the statistical properties of the avalanches.

From time to time, the ED of asperities is interrupted by a macroscopic “slip” of the body as a whole, in which all asperities are completely renewed. By a combination of the ED evolution with such macroscopic slips, we introduce non-zero macroscopic sliding velocity into the model.

The rest of the article is organized as follows. In Sec. II the model is defined and the interpretation of the model parameters is given; in Sec. III the presence of self-organized criticality (SOC) is investigated in the case of zero macroscopic velocity, while the effect of nonzero velocity on the breakdown of SOC as well as the velocity dependence of the friction force are investigated in Sec. IV. Section V summarizes the results and draws conclusions from them.

II. MODEL

We propose the following model. There are N point contacts, asperities, each with stress b . The quantity b will be interpreted as the elastic energy stored in the asperity. The model is one dimensional (the generalization to the realistic two-dimensional case is straightforward) with periodic boundary conditions, so the points form a closed ring. In each step, the point with the highest stress b_{\max} is found and released. The release of the stress means that the point is removed. However, in order to keep the number of points

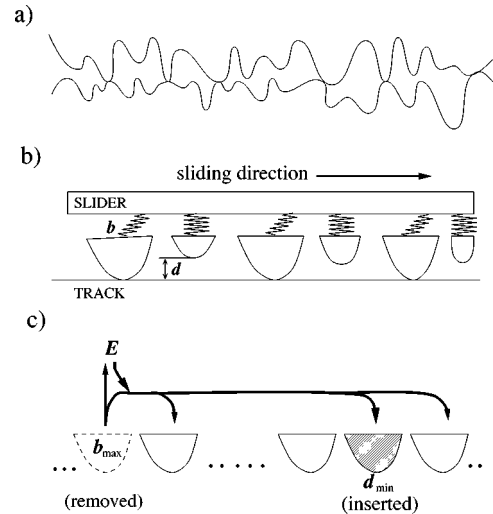


FIG. 1. Illustration of the model. A schematic drawing of two sliding interfaces in contact is given in (a); the idealization of the situation used in our model is depicted in (b). The elastic energy stored in the asperity is described by the quantity b ; the slot between the potential asperity and the track is d . In (c), the redistribution in one step of extremal dynamics is shown schematically.

constant, a new point is introduced somewhere in the system.

As a zeroth approximation, the location of the new point may be chosen at random. However, in reality the position of the new contact is determined by the detailed structure of the surfaces of the slider and track. The new contact is established at a place where the surfaces are closest one to another. So, another number d is attributed to each point representing the width of the slot between the surfaces, waiting in the vicinity of the asperity for further updates (the *actual* slot directly on the asperity is zero, of course). The situation is sketched in Figs. 1(a) and 1(b). In the update the location of minimum d is found. Here a new asperity is reintroduced. The values of b and d of the neighbors of the old and new sites are also updated. Generally, each site has $K-1$ neighbors that are affected. For simplicity, we assume $K=2$, and update only one neighbor (on the right-hand side).

Let us allow for very slow motion of the slider as a whole. The energy stored in the released asperity may be transferred entirely to other asperities, or a part of it may be converted into kinetic energy E . It may also happen that some of the kinetic energy is returned back to elastic energy of some asperities. The redistribution of energy in one update step is illustrated in Fig. 1(c).

It is natural to expect that, at higher velocities, the number of asperities affected by the transfer of the kinetic energy to the elastic one will be larger. We simplify this dependence by saying that for $E < E_{\text{thr}}$ only the nearest neighbors are affected, while for $E \geq E_{\text{thr}}$ the slider slips macroscopically over an average distance x_{slip} . The average duration of the slip is T_{slip} and after that time all parameters b and d of all asperities are newly attributed at random and E is set to 0. Then, the dynamics starts again. In this process, the kinetic energy $\approx E_{\text{thr}}$ the system had before the slip is dissipated. This makes a difference with the theories of one asperity dynamics, where the energy is dissipated immediately after release of a single asperity. In our model we do not describe the processes that happen during the slip; e.g., we do not

examine the energy dissipated in the course of the slip. Similarly, we do not calculate the physical velocity corresponding to the kinetic energy E during the ED evolution. So, we isolate only those contributions to the friction force and the macroscopic slider velocity that originate in the ED process interrupted by instantaneous slips.

The average macroscopic velocity Δv stemming from the slips depends on the average time interval between two subsequent slips. We may determine this quantity $\overline{\Delta t}$ in the time units of the extremal dynamics process. Its relation to physical time is not straightforward, but we suppose that this ambiguity affects only units, in which we measure time and not the general dependence of the friction force on velocity. Thus, we write simply

$$\Delta v = 1/\overline{\Delta t}, \quad (1)$$

which corresponds to taking the average slip length x_{slip} as the length unit and average time needed to update single asperity as a time unit. The contribution Δv from the ED process is dominant if the time between slips is much larger than the duration of the slip, $\overline{\Delta t} \gg T_{\text{slip}}$ (i.e., slips are instantaneous events) and the real length travelled between slips during the ED dynamics x_{ED} is much shorter than the slip length, $x_{\text{ED}} \ll x_{\text{slip}}$.

The contribution ΔF_{fric} to the friction force coming from this process is then proportional to the energy dissipated in one slip. Because we are using arbitrary units, we identify

$$\Delta F_{\text{fric}} = E_{\text{thr}}. \quad (2)$$

Let us now describe the extremal dynamics of the model more formally. The model consists of N sites connected in ring topology. Each site $i \in \{1, 2, \dots, N\}$ is connected to its right neighbor $r(i)$. The state of the model is described by the set $(E, b_1, b_2, \dots, b_N, d_1, d_2, \dots, d_N)$ and the function $r(i)$ which describe the connectivity of the sites. At the beginning, $E = 0$ and both b_i and d_i are uniformly distributed in the interval $(0, 1)$. The updating steps are the following. (i) Find the maximum stress $b_{\text{max}} = \max_i(b_i)$ located at site i_{max} . Remember its old right neighbor $i_{\text{old}} = r(i_{\text{max}})$. (ii) Find the minimum slot d_{min} at site i_{min} . (iii) Change of connectivity: The site i_{max} is removed by cutting its links to the left and right nearest neighbors and is reinserted between i_{min} and the site next to it on the ring. It will have a new right neighbor $i_{\text{new}} = r(i_{\text{max}}) = r(i_{\text{min}})$, and then set $r(i_{\text{min}}) = i_{\text{max}}$. (iv) Kinetic effects: Set $E' = E + \delta b_{\text{max}}$, $b'_{\text{max}} = (1 - \delta)b_{\text{max}}$, $\Delta_1 = (b_{\text{M}} - b_{i_{\text{old}}})\theta(b_{\text{M}} - b_{i_{\text{old}}})$, $\Delta_2 = (b_{\text{M}} - b_{i_{\text{new}}})\theta(b_{\text{M}} - b_{i_{\text{new}}})$. If $E' > \Delta_1 + \Delta_2$, we set $E = E' - \Delta_1 - \Delta_2$, $b'_{i_{\text{old}}} = b_{i_{\text{old}}} + \Delta_1$, $b'_{i_{\text{new}}} = b_{i_{\text{new}}} + \Delta_2$. If not, we set $E = 0$, $b'_{i_{\text{old}}} = b_{i_{\text{old}}} + E'/2$, $b'_{i_{\text{new}}} = b_{i_{\text{new}}} + E'/2$. (v) Stress redistribution: For r_1, r_2 , random numbers distributed uniformly in the triangle $0 < r_1 < r_2 < 1$ we set $b_{i_{\text{max}}} = r_1 b'_{\text{max}}$, $b_{i_{\text{old}}} = b'_{i_{\text{old}}} + (r_2 - r_1)b'_{\text{max}}$, $b_{i_{\text{new}}} = b'_{i_{\text{new}}} + (1 - r_2)b'_{\text{max}}$. (vi) New values of slots d are attributed to old and new neighbors as well as to site i_{max} , taking random numbers uniformly distributed in the interval $(0, 1)$. (vii) If $E \geq E_{\text{thr}}$, slip occurs, which means that E is set to 0 and b_i and d_i distributed uniformly in the interval $(0, 1)$.

Rule (iv) concerning the kinetic effects means that elastic energy δb_{max} is transferred from the removed asperity to kinetic energy and the rest is left for the newly inserted asperity. The quantities Δ_1 and Δ_2 are absorbed by the neighbors, but only if they do not exceed the kinetic energy (which should be positive). If they do exceed it, each of the neighbors receives exactly half of the kinetic energy, which is thus totally absorbed.

The kinetic effects and the slip involve several parameters. First, the parameter δ describes how much of the elastic energy tends to be converted into the kinetic energy. If $\delta = 0$, the kinetic effects are turned off.

The parameter b_{M} is the limit up to which an asperity can absorb a portion of kinetic energy and convert it back to elastic energy. It should be the property of the surface itself, without any resort to the load and velocity of the slider. If $\delta = 0$, the parameter b_{M} does not enter the model.

The slip is determined by the parameter E_{thr} . In a more realistic description, it would be necessary to introduce the function $R(E)$, which would count the number of sites, including the extremal site i_{max} , which are to be updated, provided the kinetic energy has the value E . Here we take the simplest form $R(E) = 3 + (N - 3)\theta(E - E_{\text{thr}})$. Even this parameter should be the property of the surface, irrespective of the load and velocity.

Finally, we comment on the interpretation of the quantity N , the average number of asperities. We suppose that it may serve as a measure of the external load. Consequently, N does not depend on the apparent contact area of the slider and the track. Larger N also means that update of single asperity has less impact on the whole system, namely, the transfer of elastic energy to kinetic energy is slower. The same effect has smaller δ , so it is the quantity δ/N that will appear in the velocity dependence of the friction force.

So, in order to conform with the Amontons-Coulomb law, we expect that the surface properties will enter the velocity dependence of the friction force through the parameter b_{M} and combinations E_{thr}/N and δ/N . We will see later that it is exactly the case.

III. INFINITELY SLOW MOVEMENT REGIME

Let us first investigate the case in which no slips are allowed, which can be expressed by the limit value $E_{\text{thr}} = \infty$. In this case, the macroscopic movement is infinitely slow. If the elastic energy could not be transformed into kinetic energy E , i.e., if $\delta = 0$, the model would be a slightly more complicated version of the Zaitsev model for dislocation movement [21], which is known to be self-organized critical. The criticality manifested by the power-law distribution of avalanche sizes is due to infinitely slow driving. It is natural to expect self-organized criticality also in our model for $\delta = 0$. However, even for $\delta > 0$ the condition of infinitely slow driving, which means technically that only one asperity is updated at a time, is also satisfied and SOC is expected as well.

We simulated systems of size $N = 1000$. The first quantity we measured was the probability distribution of the stresses, $P(b)$ and maximum stresses $P_{\text{max}}(b_{\text{max}})$. The function $P(b)$ is continuous up to a critical value $b = b_c$ and then suddenly drops to zero, which is behavior common in SOC extremal dynamics models. The value of b_c depends on δ . The typical

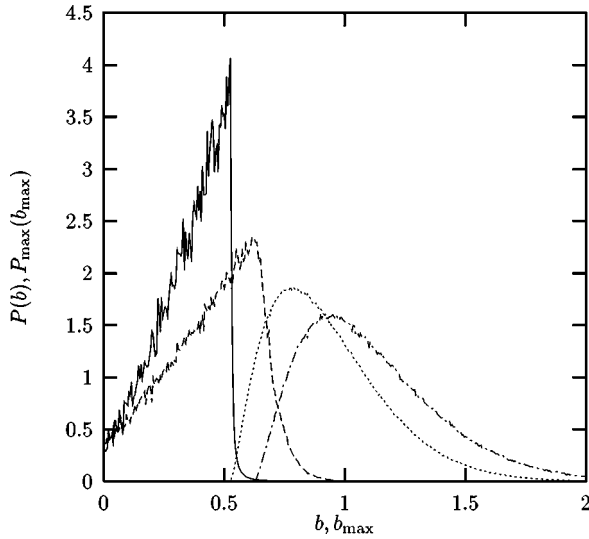


FIG. 2. Distribution of stresses $P(b)$ and maximum stresses $P_{\max}(b_{\max})$ for $N=1000$, $\delta=0.01$, and $b_M=0.9$. The energy threshold is infinite [full line for $P(b)$ and dotted for $P_{\max}(b_{\max})$] and $E_{\text{thr}}/N=0.08$ [dashed line for $P(b)$ and dash-dotted for $P_{\max}(b_{\max})$]. The number of steps is 10^6 .

behavior is shown in Fig. 2 for $\delta=0.01$.

A fingerprint of self-organized criticality is, e.g., the scaling behavior of the forward λ -avalanche sizes

$$P_{\text{fwd}}(s) = s^{-\tau} g(s|\lambda - \lambda_c|^{1/\sigma}). \quad (3)$$

The λ avalanche starts when b_{\max} exceeds the value λ and ends when b_{\max} drops below the value λ again. The size s of the avalanche is the number of update steps from the start to the end of the avalanche. For numerical reasons it is simpler

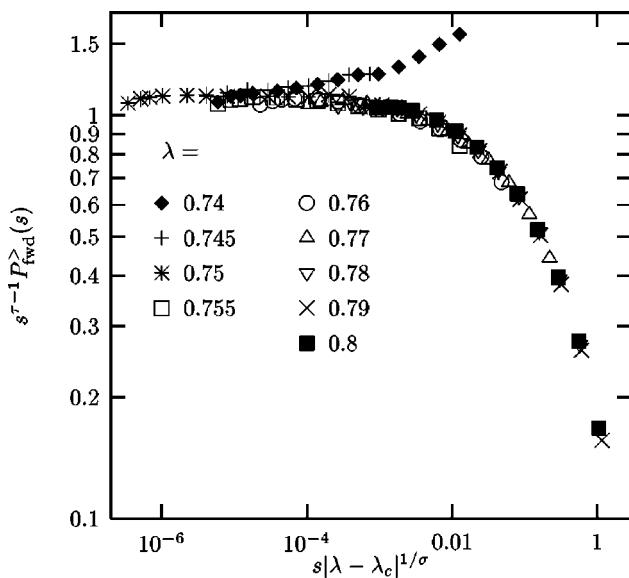


FIG. 3. Rescaled forward avalanche distribution for $N=1000$ and $\delta=0$. The critical threshold is $\lambda_c=0.7475$ and the scaling exponents are $\tau=1.28$ and $1/\sigma=2.6$. The number of steps is 10^8 . The corresponding thresholds λ are indicated next to the symbols in the legend.

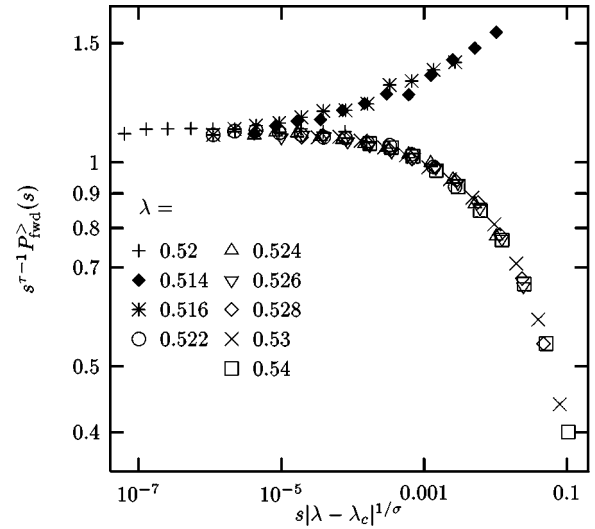


FIG. 4. Rescaled forward avalanche distribution for $N=1000$, $\delta=0.001$, and $b_M=0.9$. The critical threshold is $\lambda_c=0.519$ and the scaling exponents are $\tau=1.27$ and $1/\sigma=2.6$. The number of steps is 10^8 . The corresponding thresholds λ are indicated next to the symbols in the legend.

to investigate scaling of integrated distribution, $P_{\text{fwd}}^>(s) = \int_s^\infty d\bar{s} P_{\text{fwd}}(\bar{s})$, from which the exponents τ and σ can be determined.

Figures 3 and 4 show the data collapse which confirms the scaling of the form (3). The best collapse was obtained for the following values of the parameters: (a) for $\delta=0$ we have $\lambda_c=0.7475$, $\tau=1.28$, and $1/\sigma=2.6$, and (b) for $\delta=0.001$ and $b_M=0.9$ we have $\lambda_c=0.519$, $\tau=1.27$, and $1/\sigma=2.6$.

There is a minor difference in the exponent τ giving the best fit for $\delta=0$ and $\delta=0.001$. However, we believe that this difference is within the numerical uncertainty of the results and the model belongs to the same universality class irrespective of parameter δ .

By qualitative inspection of the quality of the data collapse for different choices of the exponents, we estimate the error bars. Thus, we finish with the following critical exponents of our model:

$$\tau = 1.27 \pm 0.02, \quad \sigma = 0.38 \pm 0.02. \quad (4)$$

The forward avalanche exponent τ is greater than in the one-dimensional (1D) Zaitsev model [21,19], but close to the Sneppen interface growth model [23,19]. Another 1D model to be compared is the charge-density wave (CDW) model of Olami [24] and the anisotropic interface depinning model of Ref. [22], which have, however, significantly larger exponent τ . The closest universality class seems to be the one of the Sneppen model ($\tau=1.26$), but the value of $\sigma=0.35$ in this class is smaller than in our model.

Whether this difference is due to the finite-size effect or the two models being in a different universality class cannot be stated with certainty from our present data. Instead, we would like to stress a structural similarity of the two models, which may explain the similarity of exponents. Contrary to usual interface growth models [25], the Sneppen model is a nonlocal one. After a single growth event (deposition of a single particle), an unbounded sequence of further steps is

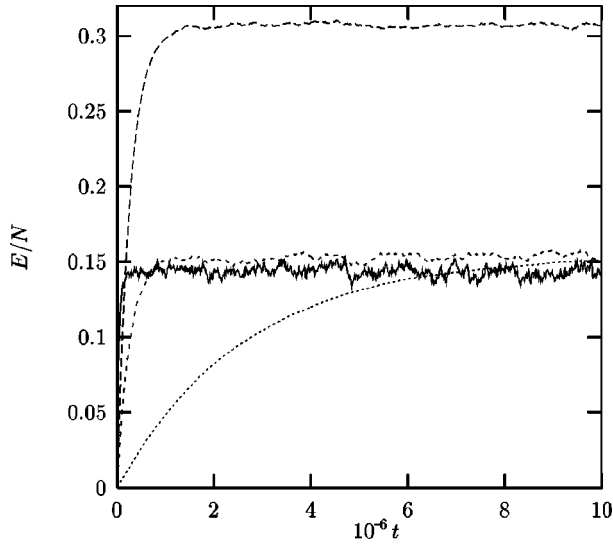


FIG. 5. Time evolution of the kinetic energy per asperity. The parameters are as follows: $N=1000$, $\delta=0.01$, and $b_M=0.9$ (full line), $N=10^4$, $\delta=0.001$, and $b_M=0.9$ (dotted line), $N=1000$, $\delta=0.001$, and $b_M=0.5$ (long dashed line), and $N=1000$, $\delta=0.001$, $b_M=0.9$ (short dashed line).

performed in order to reestablish the single-step property of the interface. So, the range of interactions fluctuates during the evolution, according to the actual configuration of the interface. Similarly, the Zaitsev model, like most of other extremal dynamics models, is local in the sense that after finding an extremal site, its neighbors are also updated, while the range of neighborhood is fixed. In contrast, our model, like the Sneppen model, does not have a fixed range of interactions, but is established by the position of the minimum of the quantity d (the slot). We simulated also a version in which the site, where new asperity is inserted, is chosen at random, instead of using the slot d . In this case we observed mean-field behavior characterized by exponents $\tau=1.5$, $\sigma=0.5$.

IV. FRICTION AT NONZERO VELOCITY

In the preceding section we dealt with stationary properties of the model. In order to account for macroscopic movement, transient properties are of interest. First, we investigate the evolution of the kinetic energy E and its approach to the stationary value E_∞ , if we forbid the slips, i.e., $E_{\text{thr}}=\infty$. In Fig. 5 we show the time evolution of E/N for different values of the model parameters δ , b_M , and number of asperities N . The most important observation is that the stationary value E_∞/N depends on b_M , while the dependence on δ and N is within the noise level. (We observe that both large N and small δ suppress the relative fluctuations of the kinetic energy around the stationary value.) The physical significance is clear: the static friction force, which is according to Eq. (2) equal to E_∞ , is proportional to N , which is in turn proportional to the normal load. Thus, we recover the Amontons-Coulomb law for static friction.

The approach of the kinetic energy to its stationary value is exponential, as is demonstrated in Fig. 6. This type of approach is directly reflected in the velocity dependence of the friction force, as we will see below.

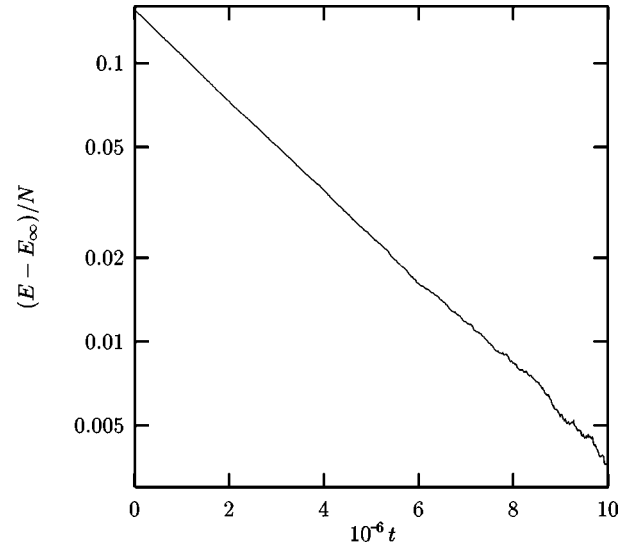


FIG. 6. Approach of the kinetic energy to its stationary value, for $N=10^4$, $\delta=0.001$, and $b_M=0.9$. The stationary value is taken as $E_\infty/N=0.155$.

If we set the threshold $E_{\text{thr}} < E_\infty$, quasiperiodic behavior is observed: the kinetic energy grows, until it reaches the value of the threshold, and then the system is reinitialized. This regime is illustrated in Fig. 7. If the threshold is close to E_∞ , the slips are less regular, due to fluctuations, but for smaller values of the threshold the slips occur with fixed frequency. The mean number of steps Δt between slips is determined by the way E approaches the stationary value. Because E_{thr} is related to the friction force by Eq. (2) and the mean period of slips to the velocity, according to Eq. (1), the velocity dependence of the friction force is measurable in our model. Figure 8 shows the results for various δ and b_M . If we denote $F_0 = E_\infty$ the static friction force, we observe by plotting the velocity dependence in semilogarithmic scale that the following law is well satisfied:

$$\Delta F_{\text{fric}} = F_0 \left[1 - \exp\left(-A \frac{\delta}{\Delta v N}\right) \right] \quad (5)$$

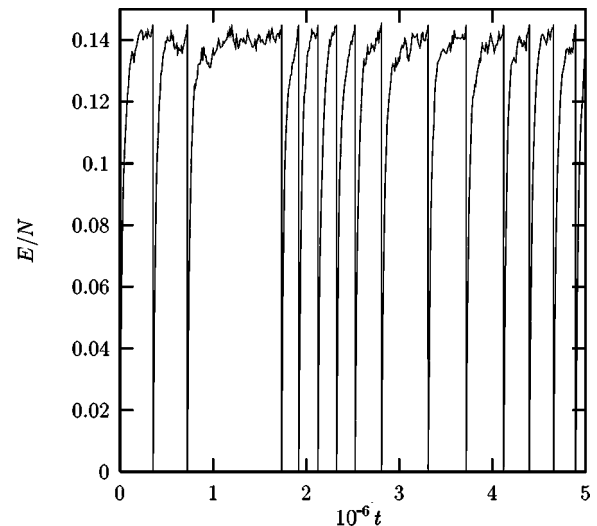


FIG. 7. Time dependence of the kinetic energy E , for $N=10^3$, $\delta=0.1$, and $b_M=0.9$. The slips occur in the moments when E drops to 0.

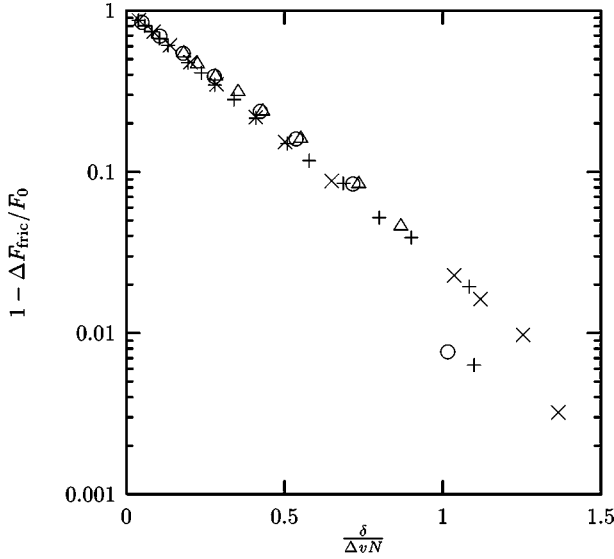


FIG. 8. Velocity dependence of the friction force, for $N=10^3$, $\delta=0.001$, $b_M=0.9(+)$, $N=10^3$, $\delta=0.001$, $b_M=0.5(\times)$, $N=10^3$, $\delta=0.01$, $b_M=0.9(o)$, $N=500$, $\delta=0.01$, and $b_M=0.9(\Delta)$.

with some constant A characteristic of the model. We have found $A=3.6\pm 0.3$. The deviations from the above dependence for $\Delta v < \delta/N$ are due to time fluctuations of E , which lead to less regular slips. However, as we already mentioned, the relative fluctuations decrease with N , so we expect the dependence (5) to hold for all velocities in thermodynamic limit $N \rightarrow \infty$.

For large velocities the friction force decreases as $\Delta F_{\text{fric}} \sim 1/\Delta v$. The same velocity dependence was found also using a different approach [12].

Because F_0 was found to be proportional to N , i.e., to the normal load, the form of Eq. (5) is in conformity with the Amontons-Coulomb law.

Now we turn to the influence of the macroscopic movement, connected to the slips on the self-organized critical behavior investigated in the last section. Each slip reinitializes the values of b and d and the evolution towards the critical attractor begins from scratch. This means that the long-range correlations characteristic of the critical state cannot fully develop. The difference can be seen already in the distribution of stresses, Fig. 2. The sharp edge in $P(b)$ observed in the infinitely slow driving is smeared out. The position of the edge determines the critical threshold λ_c for the forward avalanches, so we expect that no scaling of type (3) will hold, as soon as the macroscopic movement has nonzero velocity. However, the most direct way to investigate the breakdown of criticality due to the slips seems to us to be the calculation of the distribution of jump lengths. If in certain time step t the maximum stress was found at site i_t and in the next step at site i_{t+1} , we can compute spatial distance between these sites as follows. Let $r_t(i)$ be the function that determines the connectivity in time t , namely, $r_t(i)$ is the site connected to i on the right-hand side. The jump length s is defined as follows: starting from i_t and applying r_t we come to the right neighbor of the extremal site at time t , $r_t(i_t)$. Then, applying $s-l$ times the function r_{t+1} we must end at i_{t+1} . So, s is such that $i_{t+1} = r_{t+1}(r_{t+1}(\dots r_{t+1}(r_t(i_t)) \dots))$, where r_{t+1} is applied $s-1$ times.

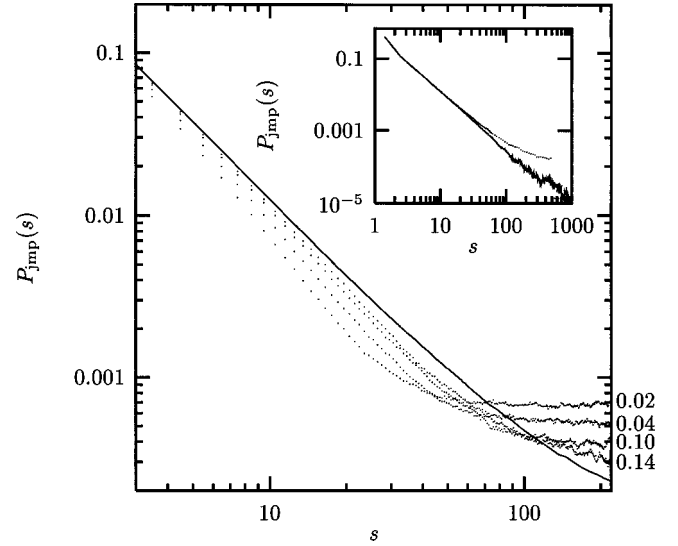


FIG. 9. Distribution of jump lengths for $N=10^3$, $\delta=0.001$, and $b_M=0.9$. The full line is the case without slips ($E_{\text{thr}} = +\infty$). The dotted lines have slips allowed and the values of E_{thr}/N are indicated next to the position where the lines reach the right edge of the figure. In the inset, the distribution of jump lengths is given for $\delta=0$ and $N=10^4$ (full line), and $N=10^3$ (dotted line). Note that the inset makes it clear that the upward bend in the distribution for $E_{\text{thr}} = +\infty$ is a mere finite-size effect.

[$\dots r_{t+1}(r_t(i_t)) \dots$], where r_{t+1} is applied $s-1$ times.

In the self-organized critical state the probability distribution of jump lengths is the power law $P_{\text{jump}}(s) = s^{-\tau}$. For $E_{\text{thr}} = \infty$ it is actually observed in our model, as indicated in the inset in Fig. 9. The comparison of the distributions for $N=10^3$ and $N=10^4$ is shown in order to give an idea of the magnitude of the finite-size corrections to the power-law behavior.

The situation with nonzero macroscopic velocity, $E_{\text{thr}} < E_\infty$, is shown in Fig. 9. When E_{thr} decreases, the velocity increases and the scale on which $P_{\text{jump}}(s)$ obeys a power law shrinks. The correlations do not have time enough to develop on the scale of the whole system, but only at shorter distances. So, we may connect the velocity dependence of the friction force to the level of correlations between the asperities, which are present in the system. In contrast to the theories where the velocity dependence stems from the aging of a single asperity, here the aging is a collective effect. The age corresponds to the range of correlations. For zero velocity the correlation length is infinite and the age is infinite as well.

V. CONCLUSIONS

We presented a model of dry friction based on the conception of slider and track interacting through a system of asperities. We proposed an extremal dynamics model in order to describe the processes during the movement of the slider. We found the decrease of the friction force with increasing velocity. For velocities approaching zero, the friction force has finite limit. The origin of the velocity dependence is not in a change of properties of a single asperity, but in collective effects, involving many asperities. At zero velocity, the system is in a highly correlated, self-organized

critical state. The values of the exponents are close to the Sneppen interface model; however, it is not clear from our data whether the universality class is the same.

Increasing the velocity gradually destroys the correlations. It is possible to view the buildup of the correlations as a collective asperity aging mechanism, as a counterpart to the single asperity aging due to plastic deformation. Such collective aging leads to a different velocity dependence of the friction force than in the models considering single asperity aging and may be thus tested experimentally. A two-dimensional variant of our 1D model would be necessary for a real comparison. However, generalization to an arbitrary dimension is straightforward.

This observation reveals also the limits of applicability of our model. It is appropriate to situations where the plastic deformation does not dominate. The model can be used in the regime of very small velocities, where the usual logarithmic velocity dependence is inappropriate. It may also be used to describe friction over highly elastic surfaces, like rubber or some plastics, where the slow aging of single asperities may not be dominant.

However, a simple modification of the model might also take into account the plastic aging: the stresses b may be allowed to depend explicitly on the time elapsed since the asperity was created. The specific form of this time dependence should be based on physical assumptions not contained in our model, like the thermally activated mechanism [6]. Thus, the interplay of collective and individual aging could be investigated. We expect that the nonuniversal form of the velocity dependence of the friction force arises from such interplay.

There can also be another source of velocity dependence different from the exponential one, which we found in our work. The function $R(E)$, which gives the number of changed asperities in one step, determines when the slips start and consequently what will be the average velocity for a given friction force. However, we expect that realistic forms of $R(E)$ have a more or less sudden increase at a certain value of E . We expect that all forms with a sufficiently sudden jump will give the same universal behavior as the stepwise form used by us.

As for the geometrical assumptions of the model, they are naturally very crude. The asperities in the model do not occupy places in a realistic one-dimensional Euclidean space, but rather on an abstract topological line. Taking into account the real geometry of the space would make more complicated the rules for finding the place where the new asperity is to be inserted. Also, the true elasticity of the medium should be taken into account. However, our results show that the velocity dependence of the friction force is governed by the way the self-organized critical state is approached. We believe that this behavior is universal and making the system more realistic would not alter the universality class, as long as the dimensionality and the extremal-dynamics character of the model is preserved.

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